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PATENT APPLICATION

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q95277

Jinichiro KOGA, et al.

Appln. No.: 10/581,717

Group Art Unit: 1652

Confirmation No.: 3601

Examiner: Manjunath N. Rao

Filed: June 5, 2006

For: ENDOGLUCANASE STCE AND CELLULASE PREPARATION CONTAINING THE

SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for U.S. patents and/or U.S. patent publications, together with a copy of a Supplementary European Search Report and an English translation of the Written Opinion of the International Searching Authority (copy attached) for the counterpart European Patent Application of PCT/JP2004/015733 wherein the listed documents were cited.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

It is noted that the three documents cited in the Written Opinion were previously cited in the International Search Report filed with the Information Disclosure Statement of June 5, 2006, and thus those documents are not being cited again.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Supplementary European Search Report and an English translation of the Written Opinion of the International Searching Authority from the European Patent Office in the counterpart European Patent Application of PCT/JP2004/015733 citing such documents, indicating the degree of relevance found by the European Patent Office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following:

- 1. WO 98/12307 is an English language document; also, an English language abstract of WO 98/12307 submitted herewith constitutes a concise statement of relevance of WO 98/12307. Furthermore, WO 98/12307 corresponds to U.S. Patent Application Publication No. 2003/0092097 A1, also cited herein.
- 2. An English language abstract of WO 2005/056787 submitted herewith constitutes a concise statement of relevance of WO 2005/056787. Furthermore, WO 2005/056787 corresponds to U.S. Patent Application Publication No. 2007/0099265 A1.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/581,717

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3. The indication in the International Search Report of the degree of relevance found

by the foreign patent office and the submission of an English language abstract for EP 1 291 431

each constitutes a concise statement of relevance of EP 1 291 431. Furthermore, EP 1 291 431

corresponds to WO 01/90375.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

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CUSTOMER NUMBER

Date: June 21, 2007

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Complete if Known Substitute for Form 1449 A & B/PTO **Application Number** 10/581,717 STATEMENT BY APPLICANT

(use as many al-Confirmation Number 3601 Filing Date June 5, 2006 First Named Inventor Jinichiro KOGA (use as many sheets as necessary) Art Unit 1652 **Examiner Name** Manjunath N. Rao Sheet Attorney Docket Number Q95277

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Dublined Det		
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US 2003/0092097	A1	05-15-2003	Andersen et al	
		US 2007/0099265	A1	05-03-2007	Watanabe et al	
		US				
		US				
		US				

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		WO	97/43409		11-20-1997	NOVO NORDISK A/S	
		wo	98/12307		03-26-1998	NOVO NORDISK A/S	English document, Abstract and corresponds to USP Application Publication No. 2003/0092097
		wo	2005/056787	Al	06-23-2005	MEIJI SEIKA KAISHA	Abstract and corresponds to USP Application Publication No. 2007/0099265
	•	ЕР	1 291 431	Al	03-12-2003	MEIJI SEIKA KAISHA, LTD	English document, Abstract, ISR, and Corresponds to WO 01/90375
		ЕР	0 959 128	A1	11-24-1999	MEIJI SEIKA KABUSHIKI KAISHA	
		EP	1 344 820	A1	02-17-2003	MEIJI SEIKA KAISHA, LTD	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶	
			-	

Examiner Signature		Date Considered		
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.